

Post-Trade Monitoring Support – Large Global Asset Manager

300

breaches reviewed daily

NA, EMEA & APAC
regions supported

USD1m

in operating cost savings

100%

on time delivery

CLIENT CHALLENGES

- » A client with c.USD500bn in AUM was looking to outsource its post-trade monitoring of contractual client restrictions to an experienced vendor owing to the lack of high-quality specialized resources to manage the function in-house
- » The client required accurate identification and rectification of all breaches through a high-quality review

OUR APPROACH

- » We deployed a 5-member team with deep understanding of asset classes and unparalleled experience in Bloomberg AIM and Post-trade monitoring
- » The client provided the team three weeks of training on the specific process
- » The team developed client-specific standard operating procedures to streamline the process
- » Over time, the team further streamlined the process, which resulted in measurable efficiencies

IMPACT DELIVERED

- » The time-zone advantage ensured that all breaches were cleared before the client started work the following day
- » The client was able to conduct a high-quality review of and rectify c.300 breaches daily
- » Process improvements such as rule optimization improved efficiency
- » The client was able to demonstrate to regulators and its end customers that it runs a systematic post-trade monitoring program compliant with investment and regulatory guidelines

About Acuity Knowledge Partners

Acuity Knowledge Partners, formerly part of Moody's Corporation, is a leading provider of bespoke research, analytics, staffing and technology solutions to the financial services sector. Headquartered in London, Acuity Knowledge Partners has nearly two decades of transformation experience in servicing over 300 clients with a specialist workforce of over 2,500 analysts and delivery experts across its global delivery network.

We provide our clients with unique assistance not only to innovate, implement transformation programmes and increase operational efficiency, but also to manage costs and improve their top lines. These services are supported by our proprietary suite of Business Excellence and Automation Tools (BEAT) that offer domain-specific contextual technology.

Acuity Knowledge Partners is assisted by Equistone Partners Europe, a leading private equity organisation that backs specialist growth businesses and management teams.