Post-Trade Monitoring Support – Large Global Asset Manager

300
breaches reviewed daily

USD1m
in operating cost savings

100%
on time delivery

CLIENT CHALLENGES

- A client with c.USD500bn in AUM was looking to outsource its [post-trade monitoring](#) of contractual client restrictions to an experienced vendor owing to the lack of highquality specialized resources to manage the function in-house
- The client required accurate identification and rectification of all breaches through a highquality review

OUR APPROACH

- We deployed a 5-member team with deep understanding of asset classes and unparalleled experience in Bloomberg AIM and Post-trade monitoring
- The client provided the team three weeks of training on the specific process
- The team developed clients pecific standard operating procedures to streamline the process
- Over time, the team further streamlined the process, which resulted in measurable efficiencies

IMPACT DELIVERED

- The time-zone advantage ensured that all breaches were cleared before the client started work the following day
- The client was able to conduct a high-quality review of and rectify c.300 breaches daily
- Process improvements such as rule optimization improved efficiency
- The client was able to demonstrate to regulators and its end customers that it runs a systematic post-trade monitoring program compliant with investment and regulatory guidelines

About Acuity Knowledge Partners

Acuity Knowledge Partners is a leading provider of high-value research, analytics and business intelligence to the financial services sector. The company supports over 350+ financial institutions and consulting companies through a team of over 3,000+ subject matter experts who work as an extension of the clients' teams based out of various global delivery centres.

We empower our clients to drive revenues higher. We innovate using our proprietary technology and automation solutions. We enable our clients to transform their operating model and cost base.

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